U.S. Serial No. 10/589,333 Attorney Docket No. 960/216

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Masahiro OGAWA et al.

Confirmation No. 6520

Group Art Unit

(To Be Assigned)

Application No.

10/589,333

Examiner

(To Be Assigned)

Filed

August 14, 2006

Docket No.

960/216

For

BRAYTON CYCLE DEVICE AND EXHAUST HEAT

ENERGY RECOVERY DEVICE FOR INTERNAL

COMBUSTION ENGINE

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

COMMISSIONER FOR PATENTS
Customer Service Window
Randolph Bldg.
401 Dulany Street
Alexandria, VA 22314

Sir:

In response to the Notification of Missing Requirements mailed May 25, 2007 (copy attached), submitted herewith are copies of: 1) postcard receipt dated November 30, 2006; 2) Submission of Declaration/Power of Attorney – No Notice of Missing Requirements Received; and 3) Declaration and Power of Attorney for Patent Application papers. The papers were filed November 30, 2006. Therefore, it is believed that the Notification of Missing Requirements was issued in error and no fee is due at this time.

Additionally, the alleged surcharge for late submission of the declaration (\$130.00) was charged on May 24, 2007, by the Patent Office, to Deposit Account 11-0600.

07/27/2007 MKAYPAGH 00000008 110600

10589333

01 FC:1617 02 FC:1614 130.00 DA 200.00 DA

Date: July 25, 2007

Respectfully submitted,

Danlel G. Shanley (Reg. No. 54,863)

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/589,333 Masahiro Ogawa 960/216

INTERNATIONAL APPLICATION NO.

23838 KENYON & KENYON LLP 1500 K STREET N.W. SUITE 700 WASHINGTON, DC 20005 PCT/JP05/01299

I.A. FILING DATE PRIORITY DATE

01/25/2005 02/20/2004

CONFIRMATION NO. 6520 371 FORMALITIES LETTER

OC000000024057904

Date Mailed: 05/25/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/14/2006
- Copy of the International Search Report filed on 08/14/2006
- Copy of IPE Report filed on 08/14/2006
- Preliminary Amendments filed on 08/14/2006
- Information Disclosure Statements filed on 08/14/2006
- Request for Immediate Examination filed on 08/14/2006
- U.S. Basic National Fees filed on 08/14/2006
- Substitute Specification filed on 08/14/2006
- Priority Documents filed on 08/14/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- Surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 was not received in full.



SUMMARY OF FEES DUE:

Total additional fees required for this application is \$330 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 200
 - \$200 for 1 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/589,333	РСТ/ЈР05/01299	960/216

FORM PCT/DO/EO/905 (371 Formalities Notice)

The stamp of the Patent Office hereon may be taken as acknowledging the receipt, on the date stamped, of

INVENTOR(S) : Masahiro OGAWA, et al.

SERIAL NO. : 10/589,333 FILED : 14 August 2006

Enclosed:

1) DECLARATION/POWER OF ATTORNEY

2) TRANSMITTAL LETTER, CHARGE ACCOUNT \$130.00

3) ASSIGNMENT

4) RECORDATION FORM COVER SHEET, CHARGE ACCOUNT \$40.00

NOV 8 0 2006

WEC/is

960/216

11/30/06

Docket No.: 960/216

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

Masahiro OGAWA, et al.

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ENERGY RECOVERY DEVICE FOR INTERNAL

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GROUP ART UNIT:

(Unassigned)

EXAMINER

(Unassigned)

COMMISSIONER FOR PATENTS

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Alexandria, VA 22314

SUBMISSION OF DECLARATION/POWER OF ATTORNEY – NO NOTICE OF MISSING REQUIREMENTS RECEIVED

SIR:

While no Notice of Missing Requirements was received in this case, submitted herewith is a copy of the executed Declaration/Power of Attorney.

Please charge Deposit Account No. 11-0600 in the amount of \$130.00 to cover the surcharge under 37 CFR 1.16(f) for late filing of this document. A copy of this sheet is enclosed for that purpose.

Respectfully submitted,

Dated: 30 November 2006

William E. Curry

(Reg. No. 43,572)

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